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① Time-dependent and independent SE

Time-independent : $\hat{H} \tilde{\psi} = E \tilde{\psi}$ spatial fn special case of time-dep.

Time-dependent : $\hat{H} \psi = i \frac{\partial \psi}{\partial t}$ spatial + temporal fn every physically valid wfn must satisfy this.

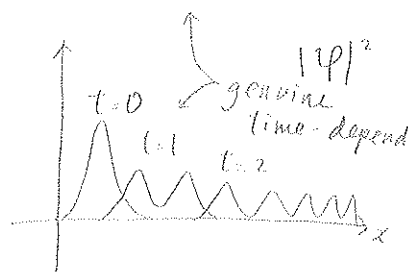
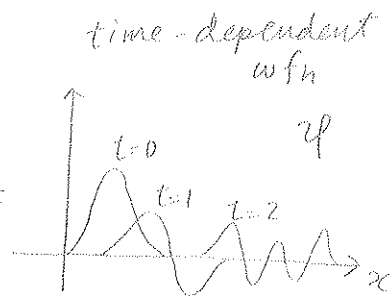
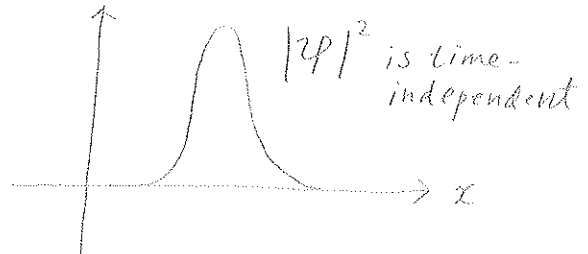
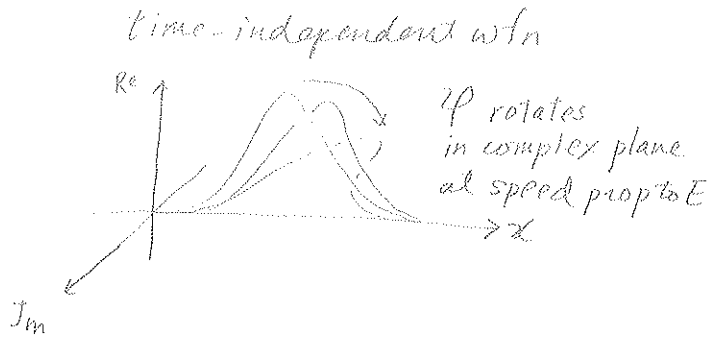
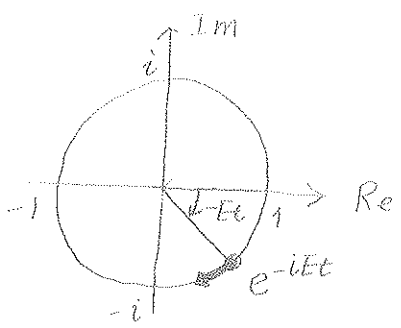
$\psi = \tilde{\psi}_{\text{independent}} (e^{-iEt})$ hidden time-dependence or "phase" ($\psi = \tilde{\psi} e^{-iEt}$)

$$\hat{H} (\tilde{\psi} e^{-iEt}) = i \frac{\partial}{\partial t} (\tilde{\psi} e^{-iEt}) = E (\tilde{\psi} e^{-iEt})$$

We can recover time-independent SE from time-dependent SE using time-independent $\psi = \tilde{\psi} e^{-iEt}$. In this sense $\psi = \tilde{\psi} e^{-iEt}$ does not depend on time.

An expectation value $\langle \tilde{\psi} e^{-iEt} | \hat{\Omega} | \tilde{\psi} e^{-iEt} \rangle = \langle \tilde{\psi} | \hat{\Omega} | \tilde{\psi} \rangle$ of any time-independent operator $\hat{\Omega}$ is independent of time and depends only on the spatial part.

$$e^{-iEt} = \cos(Et) - i \sin(Et)$$



② Time-dependent perturbation theory

[aka linear (and nonlinear) response theory or Fermi's Golden Rule]

Purpose: to explain a single-photon spectroscopic transition such as microwave, infrared, UV/vis absorption and emission, Franck-Condon principle

A photon = electromagnetic wave = oscillating electric field at ω
 $\hbar\omega$ negligible effect (weak enough to allow perturbation treatment)

i) Zero-th order state = stationary state (e.g. ground state) described perfectly by time-independent SE

0th order

$$\hat{H}^{(0)} \psi_0^{(0)} e^{-iE_0^{(0)} t} = E_0^{(0)} \psi_0^{(0)} e^{-iE_0^{(0)} t}$$

we should be explicit about hidden t-dependence

spatial wfn (\approx dropped hereafter) ground state

ii) Perturbation

$$\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}(t) = \hat{H}^{(0)} + \underbrace{\left(\frac{\hat{\epsilon}}{\epsilon_0} \right)}_{\text{field strength}} \underbrace{\left(E \right)}_{\substack{\text{real oscillating electric field} \\ \text{in } z \text{ direction}}} \left(e^{i\omega t} + e^{-i\omega t} \right)$$

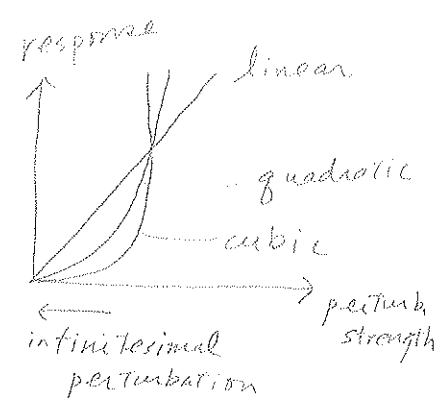
polarization of light

iii) Response (linear response dominates)

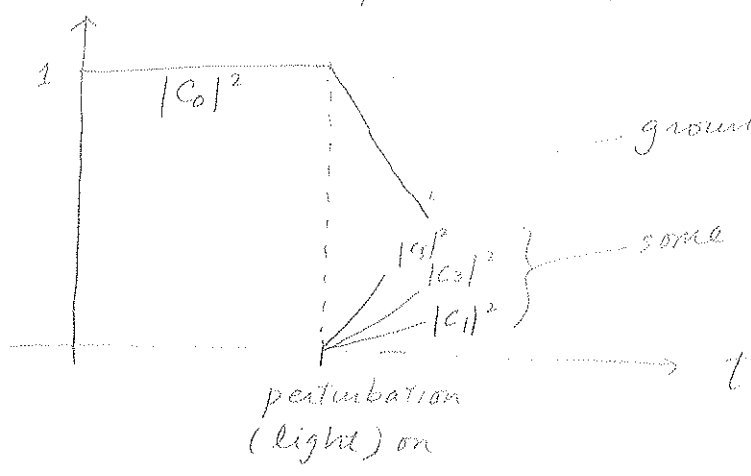
$$\psi_0^{(1)}(t) = \sum_{k=0}^{\infty} c_k(t) \psi_k^{(0)} e^{-iE_k^{(0)} t}$$

form complete set

finding $\psi_0^{(1)}(t)$ is the same as finding $\{c_k(t)\}$



What do we expect $\{c_k(t)\}$ to behave?



ground state population depleted

some excited state pop. increase at rate $\frac{d|c_k|^2}{dt}$

This rate is proportional to transition probability or spectral band intensity we are after.

iv) Time-dep. SE

$$\begin{aligned} & \left(\hat{H}^{(0)} + \lambda \hat{H}^{(1)} \right) \left(\psi_0^{(0)} e^{-iE_0^{(0)}t} + \lambda \sum_{k=0}^{\infty} c_k(t) \psi_k^{(0)} e^{-iE_k^{(0)}t} \right) \\ & = i \frac{\partial}{\partial t} \left(\psi_0^{(0)} e^{-iE_0^{(0)}t} + \lambda \sum_{k=0}^{\infty} c_k(t) \psi_k^{(0)} e^{-iE_k^{(0)}t} \right) \end{aligned}$$

v) First order in λ

$$\begin{aligned} \hat{H}^{(1)} \psi_0^{(0)} e^{-iE_0^{(0)}t} + \hat{H}^{(0)} \sum_{k=0}^{\infty} c_k \psi_k^{(1)} e^{-iE_k^{(1)}t} & = i \frac{\partial}{\partial t} \sum_{k=0}^{\infty} c_k \underbrace{\psi_k^{(1)}}_{\text{spatial}} e^{-iE_k^{(1)}t} \\ & \quad \underbrace{\sum_{k=0}^{\infty} c_k E_k^{(0)} \psi_k^{(0)} e^{-iE_k^{(0)}t}}_{\text{time-indep.}} \\ & \quad + \sum_{k=0}^{\infty} c_k \underbrace{E_k^{(0)} \psi_k^{(0)}}_{\text{cancellation}} e^{-iE_k^{(0)}t} \\ & \quad + \sum_{k=0}^{\infty} \frac{\partial c_k}{\partial t} \psi_k^{(1)} e^{-iE_k^{(1)}t} \end{aligned}$$

$$\hat{H}^{(1)} \psi_0^{(0)} e^{-iE_0^{(0)}t} = i \sum_{k=0}^{\infty} \frac{\partial c_k}{\partial t} \psi_k^{(1)} e^{-iE_k^{(1)}t}$$

vi) Multiply $\psi_n^{(0)*}$ and integrate in the whole space

$$\underbrace{\int \psi_n^{(0)*} \hat{H}^{(1)} \psi_0^{(0)} d\mathbf{r}}_{H_{n0}^{(1)}} e^{-iE_0^{(0)}t} = i \sum_{k=0}^{\infty} \frac{\partial c_k}{\partial t} \underbrace{\int \psi_n^{(0)*} \psi_k^{(0)} d\mathbf{r}}_{\delta_{nk}} e^{-iE_k^{(0)}t}$$

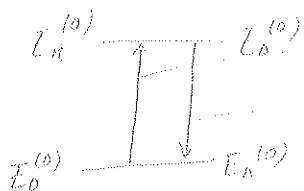
$$E (e^{i\omega t} + e^{-i\omega t}) \int \psi_n^{(0)*} \hat{Z} \psi_0^{(0)} d\mathbf{r} = i \frac{\partial c_n}{\partial t} e^{-i(E_n^{(0)} - E_0^{(0)})t}$$

Though $\frac{\partial c_n}{\partial t}$ is time-dep, it's not oscillatory. The oscillating parts must agree for this equation to be satisfied:

$$E_n^{(0)} - E_0^{(0)} = \pm \omega \quad (\text{remember } \hbar = 1 \text{ a.u.})$$

$$E_n^{(0)} - E_0^{(0)} = \pm \hbar \omega$$

Energy conservation law



$$E_n^{(0)} - E_0^{(0)} = +\hbar\omega \quad (\text{absorption})$$

$$E_n^{(0)} - E_0^{(0)} = -\hbar\omega \quad (\text{emission})$$

(In quantum world, promotion and demotion occurs at an equal probability and Peter Principle does not apply!)

$$\frac{\partial c_n}{\partial t} = -i E \int \psi_n^{(0)*} \hat{Z} \psi_0^{(0)} d\mathbf{r} \quad (= \text{constant in time})$$

$$c_n = -i \int_0^t H_{n0}^{(1)} dt \propto -i E \int \psi_n^{(0)*} \hat{Z} \psi_0^{(0)} d\mathbf{r}$$

vii) Fermi's Golden Rule

$$W_{n \leftarrow 0} \propto \frac{d|c_n|^2}{dt} \propto |E|^2 \left| \int \psi_n^{(0)*} \hat{E} \psi_0^{(0)} dr \right|^2$$

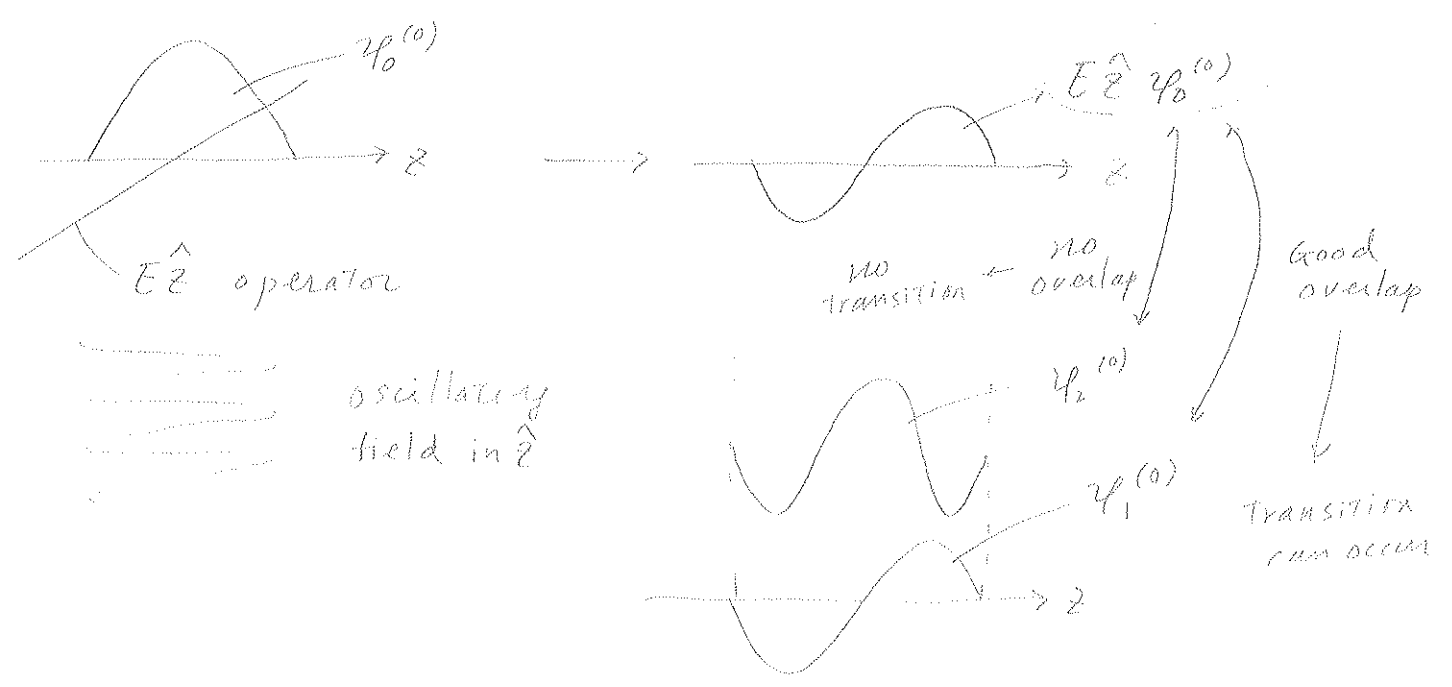
transition rate
or probability

if $E_n^{(0)} - E_0^{(0)} = \pm \hbar\omega$

TDM

transition dipole moment

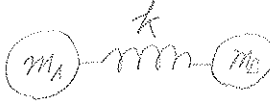
Physical interpretation



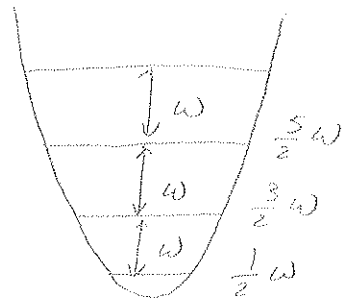
③ IR absorption

i) Diatomic vibration Schrödinger eq.

$$\left(-\frac{1}{2\mu} \frac{\partial^2}{\partial x^2} + \frac{1}{2} k x^2 \right) \psi(x) = E \psi(x)$$

reduced mass $\frac{1}{\mu} = \frac{1}{m_A} + \frac{1}{m_B}$  harmonic

$$\psi_v(x) = N_v \underbrace{H_v(x)}_{\text{Hermite polynomial}} \underbrace{e^{-\sqrt{\mu k} x^2 / 2}}_{\text{Gaussian}}$$



$$E_v = \left(v + \frac{1}{2} \right) \omega, \quad \omega = \sqrt{\frac{k}{\mu}} \quad (\text{same as classical})$$

ii) IR abs.

$$\langle e_0 v_n | \hat{z} | e_0 v_m \rangle = \langle v_n | \underbrace{\langle e_0 | \hat{z} | e_0 \rangle}_{\text{proportional to perm. dipole } \mu_2} | v_m \rangle \quad \text{BO approx.}$$

Labels:
 - $\langle e_0 v_n | \hat{z} | e_0 v_m \rangle$: same electronic, different final vib. state
 - $\langle e_0 | \hat{z} | e_0 \rangle$: ground electronic state, initial vibrational state

$$\propto \langle v_n | \mu_2 | v_m \rangle = \mu_2 \langle v_n | v_m \rangle = 0 \quad (\text{orthogonal})$$

No IR abs. occurs (?)

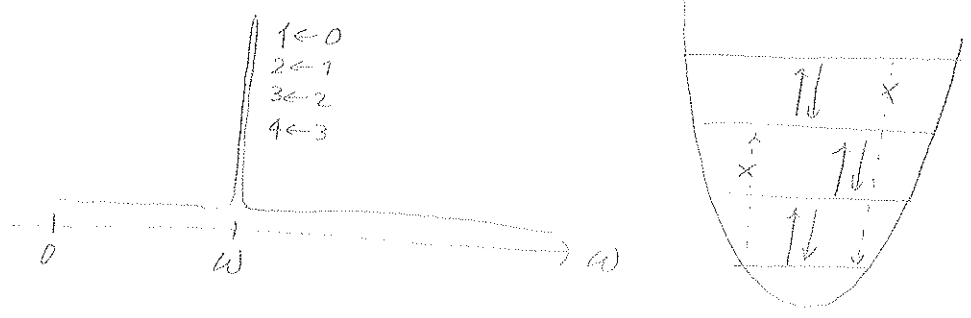
The fallacy is that μ_0 is assumed to be constant w/ x . $|e\rangle$ and μ_0 is parametrically dependent on x .

$$\langle e_0 v_n | \hat{z} | e_0 v_m \rangle = \langle v_n | \mu_2^0 + \left(\frac{\partial \mu_2}{\partial x} \right) \hat{x} + \dots | v_m \rangle \underset{\text{double harmonic approx.}}{\sim} \left(\frac{\partial \mu_2}{\partial x} \right) \langle v_n | \hat{x} | v_m \rangle$$

iii) Hermite polynomial

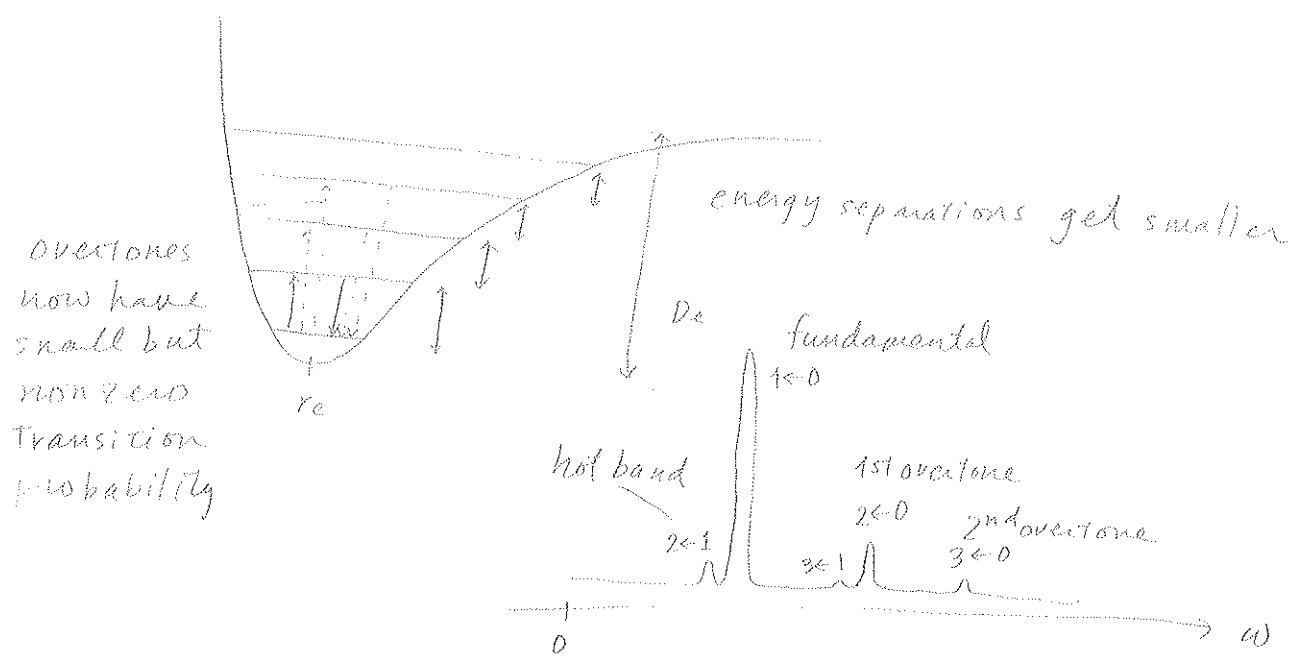
$$x H_\nu(x) = \nu H_{\nu-1}(x) + \frac{1}{2} H_{\nu+1}(x) \quad \text{recursion}$$

$$\begin{aligned} \langle \psi_n | \hat{x} | \psi_m \rangle &\propto \int_{-\infty}^{\infty} H_n x H_m e^{-x^2/2d^2} dx \\ &= m \int_{-\infty}^{\infty} H_n H_{m-1} e^{-x^2/2d^2} dx + \frac{1}{2} \int_{-\infty}^{\infty} H_n H_{m+1} e^{-x^2/2d^2} dx \\ &\quad \sim 0, n \neq m-1 \qquad \qquad \qquad \sim 0, n \neq m+1 \\ &= \text{nonzero if } n = m \pm 1 \end{aligned}$$



All allowed transitions have freq ω

iv) Anharmonicity



overtones now have small but non zero transition probability

Morse potential $V(r) = D_e \left\{ 1 - e^{-a(r_0 - r)} \right\}^2$

$E_v = \left(v + \frac{1}{2}\right)\omega - \frac{1}{4D_e} \left\{ \omega \left(v + \frac{1}{2}\right) \right\}^2$, $\omega = \sqrt{\frac{k}{m}} = a \sqrt{\frac{2D_e}{m}}$

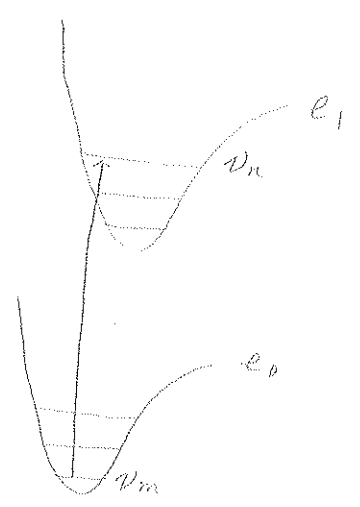
harmonic force const at $r = r_e$

④ UV/vis absorption

$$\langle e_1, \nu_n | \hat{z} | e_0, \nu_m \rangle \quad \left. \vphantom{\langle e_1, \nu_n | \hat{z} | e_0, \nu_m \rangle} \right\} \text{BD approx.}$$

$$= \langle e_1 | \hat{z} | e_0 \rangle \underbrace{\langle \nu_n | \nu_m \rangle}_{\text{orthonormal sum}}$$

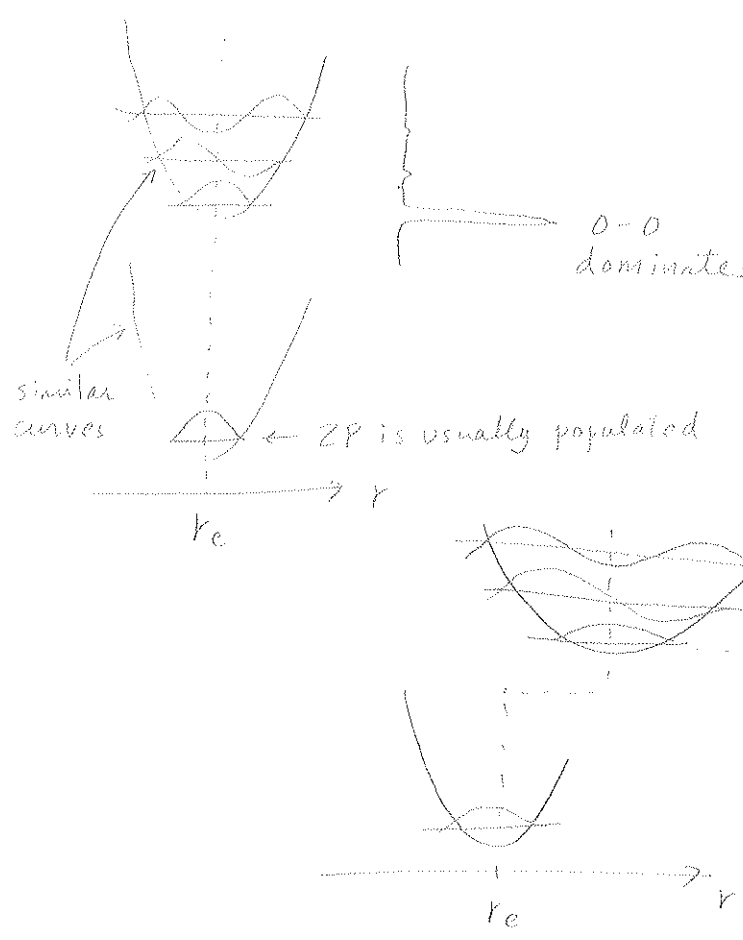
= 0-0 transition only ???



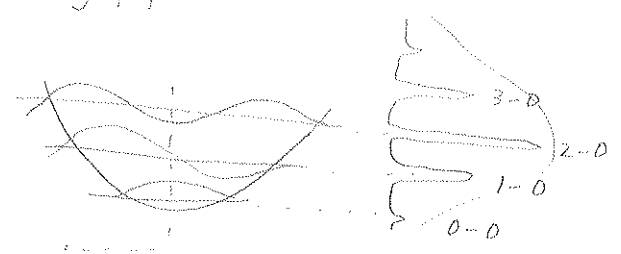
The fallacy is that ν_n and ν_m are eigenfun of different vib. Schrödinger eqs. (the former for e_1 state, the latter for e_0) and are not orthogonal

$$\langle e_1, \nu_n | \hat{z} | e_0, \nu_m \rangle = \underbrace{\langle e_1 | \hat{z} | e_0 \rangle}_{\text{electronic TDM}} \underbrace{\langle \nu_n | \nu_m \rangle}_{\text{Franck-Condon factor}}$$

(Not "Frank")
James Franck is a Nobel laureate from Johns Hopkins



0-0 dominates = excited electron is non or anti bonding

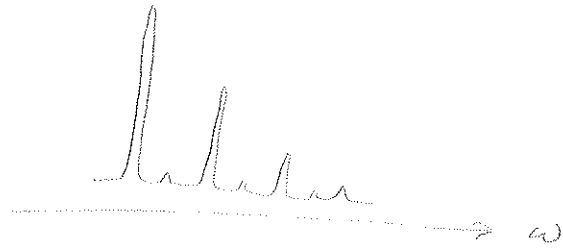


long FC progression = excited electron is bonding; significant weakening of bond and bond elongation.

Q: How can one measure vib freqs of molecule in an ^{electronic} excited state?

A: Just measure UV/vib abs.

Q: What does this FC progression suggest re. the shape and relative position of two potentials?



A:

